



OTC Markets

OTC MARKETS GROUP MEDIA FACT SHEET

About OTC Markets Group

OTC Markets Group Inc. (OTCQX: OTCM) operates Open, Transparent and Connected financial marketplaces for 10,000 U.S. and global securities.

To create clarity in the investment process, we organize these securities into three tiered marketplaces (OTCQX, OTCQB and OTC Pink) based on the quality and quantity of information companies make available.



The Intelligent Marketplace

The intelligent marketplace for the best OTC companies with the highest financial standards and superior information availability.



The Venture Marketplace

The venture marketplace for companies that are current in their reporting with a U.S. regulator.



The Open Marketplace

The open marketplace for a wide spectrum of equity securities.

What You Should Know:

We have brought unparalleled technology and transparency to the OTC marketplace

- FINRA member firms quote and trade 10,000 U.S. and global securities on our OTC Link® ATS platform
- Investors can trade OTCQX, OTCQB and OTC Pink securities seamlessly through any broker-dealer including leading full service firms, institutional desks, and top online brokers such as E*Trade, Fidelity, Schwab, Scottrade, and TD Ameritrade

We help investors navigate the OTC marketplace

- We organize the securities into three marketplaces based on the level of disclosure companies choose to provide to investors: OTCQX®, OTCQB® and OTC Pink®
- We designate questionable securities with a Caveat Emptor (skull and crossbones) as a warning for investors

We have created a trusted tier in the OTC marketplace

- Over 400 companies from 29 countries
- OTCQX companies have a combined \$1 Trillion market cap
- OTCQX companies retain a qualified advisor to sponsor their application and advise them about disclosure

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OTC Markets Group Business Lines

OTC Markets Group has three lines of business that provide a variety of products and services to market participants. Our three areas of expertise include:

Trading Services

We provide connectivity and communication to registered broker-dealers that improves the trading process. Our information technology platform enables subscribing broker-dealers to display prices, attract orders and conduct trade negotiations in OTCQX, OTCQB and OTC Pink securities.

Issuer Services

We offer products and services to companies that are designed to promote transparency, facilitate public disclosure, and enable companies to demonstrate compliance with federal securities laws. OTCQX, our premier marketplace, fills an important role for issuers that are not efficiently served by a U.S. stock exchange listing. OTCQX companies include global leaders such as AXA, Deutsche Telekom AG, Roche Holding Ltd., and Wal Mart de Mexico.

Market Data Services


We provide our subscribers, including investors, traders, institutions, accountants, and regulators with access to extensive market data and security information. The OTC Markets Group advantage is that we are able to collect a variety of market data through our Subscriber and Issuer Services products.

Executive Management

Our team of marketplace experts is made up of seasoned professionals with previous experience in trading, product management, sales, and compliance at major U.S. exchanges, broker-dealers and financial services firms.

R. Cromwell Coulson	President, Chief Executive Officer & Director 
Matthew Fuchs	Managing Director - Market Data & Strategy 
Wendy Fraulo	Chief Financial Officer 
Lisabeth Heese	Managing Director - Issuer & Information Services 
Michael Modeski	President, OTC Link LLC 
Timothy Ryan	Managing Director - Sales, Marketing & Business Development 
Emilia Sherifova	Chief Information Officer 
Dan Zinn	General Counsel 

Media Contact

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For more information on OTC Markets Group, please visit www.otcmarkets.com, and follow us on Twitter  and LinkedIn 